高鈺証券有限公司
AFG SECURITIES LIMITED
香港中環干諾道中61號福興大廈17樓B室
為證券及期貨事務監察委員會（"證監會"）註冊為持牌法團（CE編號 BIH335）
Room B, 17/F, Fortune House, 61 Connaught Road Central, Central, Hong Kong
Registered with Securities and Future Commission ("SFC") as a licensed corporation (CE No. BIH335)

賬戶號碼

開戶表（機構專業投資者）

CLIENT INFORMATION FORM (INSTITUTIONAL PROFESSIONAL INVESTOR)

公司名稱 Company Name: 英文 English:	公司名稱 Company Name: 中文 Chinese:
經營名稱 Trading Name (if different from above) : 英文 English:	經營名稱 Trading Name(if different from above): 中文 Chinese:
公司類別如私人公司,協會,社團等 Nature of Entity (e.g. private limited company, association, society, etc.)	註冊/成立地點 Place of Incorporation/ Establishment:
註冊编號 Certificate of Incorporate Number:	成立日期 Date of Incorporation: (日 D/月 M /年 Y)
公司業務 Nature of business	
商業登記證編號 Business Registration Number	
註冊地的註冊地址 Address of Registered Office in Country of Incorporation /Establishment	
辦事處位址 Business Address (If differ from the Address of the Registered Office)	
通讯地址 Correspondence Address	
辦公室電話 Office Telephone No.: ( )	手提電話 Mobile Telephone No.: ( )
傳真號碼 Facsimile No.: ( )	電郵地址 E-mail address:

所有交易確認通知書、帳戶結單送至 All trading confirmations' statement and correspondences to be sent to
☐E-Mail 電郵 ☐Registered Address 居住地址 ☐Correspondence Address 通訊地址

Is this Company regulated by relevant authorities (e.g. SFC, SEHK, etc.)?

☐ Yes, The Company is regulated by: _____

☐ No.

(a)Any one of the following individuals is authorized on behalf of the Company to give verbal trading instructions in relation to the Account:

Name (English/Chinese)	HKID/Passport No.	Contact Tel. No.	Specimen Signature

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(b)The following individuals are authorized on behalf of the Company to give written instructions in relation to the operation of the Account:

Name (English/Chinese)	HKID/Passport No.	Contact Tel. No.	Specimen Signature

Any _____ of total _____ signature(s) together with Company chop shall be valid. (# Delete where appropriate)

Settlement Method

☐ Delivery versus Payment "DVP" through CCASS

Name of Custodian: _____

CCASS participant ID: _____

SI Client Code (If any): _____

OR

☐ Unless otherwise instructed by Company, all the monies payable to Company are to be credited to

The following bank account:

Bank Name: _____

Account Name: _____ Account No. : _____

Identity of the ultimate beneficial owner(s) of the Account(s): □ House □ Client Account

Source of fund (if applicable): _____

We hereby enclose the following documents:

☐ The Board Resolution authorizing the execution of this Account Opening Information Form and the relevant documents

☐ HKID card/Passport for all Authorized Individuals listed in items (a) and (b) above

☐ Copy of certificate of registration/license issued by regulatory body (e.g. the SFC), or, a copy of the client's licensed of registration status as shown in public register of the authority from which the client's license is issued of the registration is accepted.

☐ Letter of consent for being treated as Professional Investor.

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客戶聲明 DECLARATION BY CLIENT

本開戶表的資料均屬真實及正確。本人為此帳戶之最終權益擁有人。高鉦証券有限公司(“高鉦証券”)完全可以依靠這些資料及陳述作適當用途(包括任何高鉦証券收到的客戶更新資料的書面通知)。客戶授權高鉦証券可在任何時間聯絡任何人，包括客戶的銀行、經紀等或任何信貸機構，藉以確定及查證本開戶表內的資料。

The information contained in this Client Information Form is true and accurate. I hereby declared that I am the ultimate beneficial owner of this account. AFG Securities Limited (“ASL”) is entitled to rely fully on such information and representations for applicable purposes (including any change hereof in writing as received by ASL). ASL is authorized at any time to contact anyone, including my banks, brokers or any credit agency, for the purpose of verifying the information provide on this Client Information Form.

本人，下述簽署客戶，明白使用任何高鉦証券之服務前，當已閱讀及明白高鉦証券最新版本的客户協議書(“該協議書”)而本文件乃該協議書的一部份。本人現申請開立帳戶，並同意接受可不時被修改的該協議書包括其標準條款及細則及有關的附件所約束。本人確認已獲提供按本人選擇的語言(中文/英文)附於本表之重要提示及風險披露聲明風險披露聲明，並提出問題及徵求獨立的意見(如本人有此意願)。

I the undersigned Client, confirm that prior to usage of any of ASL service(s), have read and understood the provisions of the current version _____ of the Client Agreement of ASL (“Agreement”) of which this document forms a part. I hereby apply to open an account and agree to be bound by the Agreement including its Standard Terms and Conditions and relevant attachment(s) as the same may be amended form time to time. I acknowledge and confirm that ASL has provided the Important Notice and Risk Disclosure Statements annexed hereto in a language of my choice (Chinese/English) and I have been invited to read the Risk Disclosure Statements, to ask questions and take independent advice if I wish.

客戶簽署 Client Signature

日期 Date：

見證人簽署 Witness Signature

見證人姓名 Witness Name:

日期 Date：

身份證/護照號碼 ID No. / Passport No.

風險披露聲明 RISK DISCLOSURE STATEMENT

證券交易的風險

投資產品價格有時可能會非常波動。投資定息產品價格可升可跌，甚至變成毫無價值。買賣投資定息產品未必一定能夠賺取利潤，反而可能會招致損失。

RISK OF SECURITIES TRADING:

The prices of investment fluctuate, sometimes dramatically. The price of an investment may move up or down, and may become valueless. It is as likely that losses will be incurred rather than profit made as a result of buying and selling investment.

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投資者開戶 ACCOUNT OPENING OF INVESTOR

資料重覆檢查 Data Duplicate Check
☐ 無重覆 No duplicate
☐ 發現重覆 Duplicate (原因/帳戶 Reason/Account: _____)

核對人 Documents Checked by:	批准人 Approved by: 日期 Date:

介紹人 Introduced by:	
客戶經理 AE Name:	
認識客戶之年期 How long known to you:	